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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **R. MARTIN EMANUELE**

Serial No. **09/457,771**

Filed: **December 9, 1999**

For: **THERAPEUTIC DELIVERY COMPOSITIONS  
AND METHODS OF USE THEREOF**

)  
) **Art Unit 1635**  
)  
) **Examiner: Schnizer**  
)  
) **Docket: 42896/216422**  
)

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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*Latasha K. Barnes*  
Latasha K. Barnes

Sir:

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It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; therefore, no fee is believed to be due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Applicant states that European Patent No. 0135376 is a corresponding patent of Japanese Publication No. 60-105630.

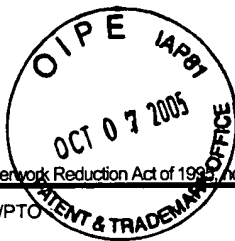
Information Disclosure Statement  
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Page 2

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Z. Doddridge".

Zara A. Doddridge, Ph.D.  
Limited Recognition No. L0030

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	09/457,771
Filing Date	December 9, 1999
First Named Inventor	R Martin Emanuele
Art Unit	1635
Examiner Name	Richard Shnizer
Attorney Docket Number	42896/216422

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	1	JPN 60-105630	06-11-1985	Syntex Inc		
	2	EP 0135376	07-11-1990	Syntex Inc		

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	3	MICHAEL SIMONS ET AL, "Antisense c-myc oligonucleotides inhibit intimal arterial smooth muscle cell accumulation in vivo," Nature International Weekly Journal of Science, September 3, 1992, pgs. 67-70, Volume 359, Japan.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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